



## NOTICE OF MEETING

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# Haringey Schools Forum

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THURSDAY, 25TH FEBRUARY, 2021 AT 16.00 HRS ON ZOOM.

### AGENDA

**1. CHAIR'S WELCOME**

**2. APOLOGIES AND SUBSTITUTE MEMBERS**

Clerk to report.

**3. DECLARATIONS OF INTEREST**

Declarations are only required where an individual member of the Forum has a pecuniary interest in an item on the agenda.

**4. MINUTES OF THE MEETING OF 14 JANUARY 2021 (PAGES 1 - 12)**

**5. MATTERS ARISING**

**6. FORUM MEMBERSHIP**

To review the membership of the Forum.

**7. DEDICATED SCHOOLS GRANT 2020-21 & DEFICIT RECOVERY PLAN (PAGES 13 - 20)**

**8. EARLY YEARS BLOCK BUDGET UPDATE 2021-22 (PAGES 21 - 32)**

**9. GROWTH FUND UPDATE (PAGES 33 - 36)**

**10. WORKPLAN 2020-21 (PAGES 37 - 38)**

**11. UPDATES FROM WORKING PARTIES (PAGES 39 - 42)**

**A. EARLY YEARS**

**B. HIGH NEEDS**

**12. PROTOCOL FOR OBSERVERS**

**13. ANY OTHER URGENT BUSINESS**

**14. DATES OF FUTURE MEETINGS**

24 June 2021

**MINUTES OF THE SCHOOLS FORUM MEETING  
THURSDAY 14 JANUARY 2021 AT 4pm**

<b>School Members</b>		
<b>Headteachers</b>		
<b>Special (1)</b>	Martin Doyle (Riverside)	
<b>Nursery Schools (1)</b>	Peter Catling (Woodlands Park)	
<b>Primary (7)</b>	(A) Mary Gardiner (West Green) Represented by :	*Michelle Randles
	Stephen McNicholas (St John Vianney)	Paul Murphy (Lancasterian)
	Emma Murray (Seven Sisters)	Linda Sarr (Risley Avenue)
	Will Wawn (Bounds Green)	
<b>Secondary (2)</b>	Andy Webster (Park View)	Tony Hartney (Gladesmore)
<b>Primary Academy (1)</b>	(A) Simon Knowles (LDBS Academies Trust)	
<b>Secondary Academies (2)</b>	Vacancy	Michael McKenzie (Alexandra Park)
<b>Alternative Provision (1)</b>	Gerry Robinson	
<b>Governors</b>		
<b>Special (1)</b>	Jean Brown (The Vale)	
<b>Nursery Centres (1)</b>	Melian Mansfield (Pembury)	
<b>Primary (7)</b>	Laura Butterfield (Coldfall)	
	Hannah D'Aguiar (Chestnuts Primary)	John Keever (Seven Sisters)
	*Jenny Thomas (Lordship Lane)	Julie Davies (Tiverton)
	Vacancy	
<b>Secondary (2)</b>	Vacancy	Vacancy
	Sylvia Dobie (Park View)	
<b>Primary Academy (1)</b>	Vacancy	
<b>Secondary Academies (3)</b>	Noreen Graham (Woodside)	Vacancy
<b>Non-School Members</b>		
<b>Non-Executive Councillor</b>	Cllr Daniel Stone	
<b>Trade Union Representative</b>	Paul Renny	
<b>Professional Association Representative</b>	Ed Harlow	
<b>Faith Schools</b>	*Geraldine Gallagher	
<b>14-19 Partnership</b>	*Kurt Hintz	
<b>Early Years Providers</b>	Susan Tudor-Hart	
<b>Observers</b>		
Dame Claudine Duberry Resident and Director of Taking Positive Steps		
<b>Cabinet Member for CYPS</b>	Cllr Amin	
<b>Also Attending</b>		
LBH Director of Children's Services	Ann Graham	
Chief Executive of Haringey Education Partnership (HEP)	James Page	
LBH Assistant Director, Schools & Learning	Eveleen Riordan	
LBH Assistant Director, Finance	Thomas Skeen	
Interim LBH Head of SEN & Disability	Nathan Jones	
LBH Head of Strategic Commissioning, Early Help & Culture	*Ngozi Anuforo	
LBH Assistant Director Commissioning	Charlotte Pomery	
LBH Head of Early Help & Prevention	Martin Clement	
LBH Head of Finance & Business Partners	Brian Smith	
LBH Finance Business Partner (Schools & Learning)	Muhammad Ali	
LBH Service Improvement & Children's Services	*Karen Oellermann	
LBH Principal Accountant DSG	Kristian Bugnosen	
Lead for Governor Services (HEP)/Clerk (Minutes)	Neetha Atukorale	
Asst Director Early Years Help and SEND	Ann Marie Dodds	

(A) = Apologies given

\* = Asterisk denotes absence

ITEM NO.	SUBJECT / DECISION	ACTION ASSIGNED TO
<b>1.</b>	<b>CHAIR'S WELCOME</b>	
1.11.2	The Chair, Tony Hartney, welcomed everyone present to the meeting.	
<b>2.</b>	<b>APOLOGIES ,SUBSTITUTE MEMBERS AND OBSERVERS</b>	
2.1	Apologies were received from: Simon Knowles and Mary Gardiner. It was noted that substitute member Angela Mc Nicolas was representing Mary Gardiner.	
2.2	It was noted that Dame Claudine Duberry was in attendance as an observer. The Chair introduced Dame Duberry to the meeting.  One member requested an introduction to Dame Duberry. Dame Duberry confirmed that she is a Haringey Resident and Director of the charity Taking Positive Steps that works with pupils excluded from schools.	
2.3	It was noted that that the composition of membership would be reviewed in June 2021	<b>NA - Clerk</b>
<b>3</b>	<b>DECLARATIONS OF INTEREST</b>	
3.1	None were made	
<b>4</b>	<b>MINUTES OF THE MEETING ON 3 DECEMBER 2020</b>	
4.1	The Minutes were approved as an accurate record of the meeting, subject to the minor amendments below:	
4.2	<b>7.2.20 Page 6</b> <b>First bullet point – addition</b> <i>Any unspent funds from the end of quarter 4 should be re-profiled from January - March into the APT. ACTION NA - Clerk</i>	<b>NA – Clerk</b>
4.3	<b>7.2.3 – Accuracy</b> <b>Charges</b> should be replaced with changes <b>ACTION NA - Clerk</b>	<b>NA- Clerk</b>
	Clarification was requested on when the move to hard formula would take place either 2022-23 or 2023-24.	
	MA confirmed that is most likely to be 2022-23 and explained that the DfE have not confirmed the position to date. An update will be provided at the next Forum meeting. <b>ACTION BS/MA/KB</b>	<b>BS/MA/KB</b>
	It was agreed that the minutes would be amended to indicate this.	<b>NA - Clerk</b>

<b>5</b>	<b>MATTERS ARISING</b>	
	Members were asked to speak out if there were any matters arising.	
<b>5.1</b>	<b>Governor representation</b> The Clerk raised this under Item 6. Forum Membership as below.	
<b>6</b>	<b>FORUM MEMBERSHIP</b>	
<b>6.1</b>	<p>There had been secondary school governor who had come forward to express an interest in being appointed as a result of governor vacancies on Schools Forum being raised with the Secondary Governors Group.</p> <p>The details of the governor had been forwarded to both the Chair and Melian Mansfield (MM) of the Haringey Governors Association (HGA). In line with the constitution all governors of the particular sectors with vacancies will need to be invited to come forward for nomination. MM will send the Clerk a letter to be circulated to Chairs of Governors to be circulated to all governors calling for nominations for the vacant posts and voluntary placements. <b>ACTION MM (HGA)</b></p>	<b>MM (HGA)</b>
<b>7</b>	<b>Arrangements for the use of pupil referral units and the education of children otherwise than at school</b>	
<b>7.1</b>	<p>The item had been included on the agenda as there had not been enough time to cover the report and questions at the last meeting.</p> <p>CP led the item in the absence of NA.</p>	
<b>7.2</b>	<p>It was reported that considerable work had been carried out on the model of change to set up the Haringey Learning Partnership which brought all pupil referral provision under one DfE number with the following houses:</p> <ul style="list-style-type: none"> <li>• Commerce House – formerly Octagon Academy</li> <li>• Pulford House – formerly Haringey Tuition Service</li> <li>• Simmons House – unchanged</li> <li>• Key Stage 4 – Virtual roll</li> </ul>	
<b>7.3</b>	CP explained that there is still further work to be done with an increase of exclusions and the effects of the pandemic on pupils including anxiety and stress.	
<b>7.4</b>	The paper also outlines investment opportunities, expansion has taken place in the secondary outreach provision with the appointment of a new member of staff.	
<b>7.5</b>	Further work is being carried out to extend the primary sector outreach service position. The budget for this is being reviewed. A commitment has been made to extend the offer, this is taking place through budget change.	

7.6	The development of secondary nature hubs has taken place around school localities, stack stopping of the investment has taken place with regards to budgets to ensure that schools do not lose out the pilots are successful in terms of working differently with the pupils at risk of exclusion as needs start to develop.	
7.7	Work needs to be carried out with the SEMH specialist provision, to ensure there is a long term offer for in borough pupils and ensuring that pupils in borough have support and the work that is being carried out in Pulford House.	
7.8	<p>An investor save model is being looked at. There is a lot of work to be done including working together with partners. It is an ambitious project.</p> <p>A capital strategy is being looked at for the model for change.</p>	
7.9	<p>The Chair and members presented thanked CP for her report. The Chair invited members to raise questions or make comment.</p> <p>One member highlighted that the budget is closely linked with the High Needs Overspend and it was important to introduce a plan or formula to reduce the overspend. She also emphasised the importance of secondary headteachers being in support of the model for change.</p> <p>CP did confirm that the paper was brought to the forum as the budget is closely linked to the High Needs Block pressures and deficit recovery plan.</p> <p>One member commented that he found it difficult to extract the trajectory line of the current position and the future success criteria.</p> <p>CP agreed to share the programme plan with the member and the milestones. There is an AP Programme delivery board that reviews milestones.</p> <p>An observer asked if there was a tracking system for the children who are vulnerable and excluded from schools and how it worked.</p> <p>CP confirmed that the Alternative Provision (AP) is aware of the excluded pupils.</p>	
7.10	GR presented a diagram with the structure of the AP she explained that there is now a single point of access to the Haringey Learning Partnership (HLP), where individual cases are discussed and allocated within the AP. There is a weekly multi agency meeting. Previously there were enquiries to different services from Headteachers, the single point of access has helped with recommendations from Headteachers for placements in any part of the HLP..	

<p>7.11</p> <p>7.12</p> <p>7.13</p> <p>7.14</p>	<p>GP highlighted that an investor save model is required to ensure that pupils are not sent to expensive out of borough provision.</p> <p>She explained that the new Secondary outreach had been introduced with early intervention to prevent situations escalating. There is also a clear tracking system.</p> <p>She reported that attendance has increased from 67 – 97%. There have been 17 re-integrations into mainstream schools. The support from Headteachers has been helpful to re-integrate pupils.</p> <p>GR invited Forum Members to visit Commerce House after the pandemic.</p> <p>One member asked why there was a plan in Section 4.1 of the report for independent provision.</p> <p>CP confirmed that although the strategy is to retain provision in borough there can be cases that need to placed in out of borough provision.</p> <p>The Chair thanked GR, CP and the team for all the work that had taken place.</p>	
<p><b>8</b></p>	<p><b>DEDICATED SCHOOLS BUDGET</b></p>	
<p><b>8.1</b></p>	<p><b>Central School Services Block review</b></p>	
<p>8.1.2</p> <p>8.1.3</p>	<p>The report had been tabled in response to a request from the forum for the use of CSSB funding.</p> <p>BS explained that the report includes:</p> <ul style="list-style-type: none"> <li>• A detailed breakdown of the use of the CSSB funding and the services that it supports</li> <li>• The proposed allocation by the council on core services including the provision split between the LA and the Haringey Education Partnership</li> <li>• The proposed funding indicated by the HEP will remain unchanged</li> <li>• The split between in-year revision to the spending allocation with the shortfall to the council</li> <li>• The indicative reduction of £73,723 in the CSSB grant for 2021-22, which will fall to the council, services are being reviewed to be more sustainable in view of the likely shrinking CSSB budget in the future.</li> </ul> <p>The Chair invited members to ask questions:</p> <p>One member asked if the lines of expenditure in the report were included in ongoing responsibilities and not historic commitments.</p> <p>BS confirmed that the lines were included in ongoing responsibilities.</p>	

	<p>One member thanked BS for his report and asked if the proposals had been approved by DCS as there is a duty to inform Schools Forum and not consult, if there was a likelihood that this could be overturned as the HEP had taken a reduction in funding last year. In terms of the funds being LA funds.</p> <p>AG confirmed that this is the final position and the LA will absorb the costs this year, but it will be reviewing arrangements with the forum. The LA will be seeking an equitable split of funding allocations going forward.</p> <p>One member requested clarification on the reduction in finances for Looked After Childrens placements, requesting whose responsibility CSSB or Haringey general fund.</p> <p>BS explained there are two elements to Looked After Children Funding: The LA Social care aspect and also the education of the pupils. This is not clear cut . Review of this has taken place £800K has remained unchanged, however the number of pupils has increased. The LA only gets the funding from DGS and the remainder is funded from the Haringey general fund.</p>	
<b>8.2</b>	<b>Outturn forecast 2020-21</b>	
<b>8.2.1</b>	KB explained that the predicted DSG forecast financial position for the financial year 2020-21 at period 8 will be £5.335m, with the High Needs Block continuing to be the main pressure to the DSG with a deficit carried forward of £15m.	<b>BS/KB/MA</b>
<b>8.2.3</b>	The paper also mentioned the deficit recovery plan. The Secretary of state will have the option to impose specific conditions.	
<b>8.2.4</b>	The output from the deficit recovery plan meetings will be reported at the next Schools Forum. <b>ACTION BS/KB/MA</b>	
<b>8.2.5</b>	<p>One member asked if the indicative funding allocation for 2021-22 takes into account the funding allocation for the early years block in terms of the reduction in funding/claw back with the new nursery headcount rules for the Spring Term.</p> <p>KB confirmed that the reduction in funding/claw back with the new nursery headcount rules for the Spring Term will be taken into account.</p>	
<b>8.3</b>	<b>Local funding formula for 2021-22 and Deficit Recovery Plan (DSG)</b>	
<b>8.3.1</b>	KB explained that the paper was in response to the report presented at the last Schools Forum Meeting.	



<p>8.3.2</p> <p>8.3.3</p> <p>8.3.4</p> <p>8.3.5</p> <p>8.3.6</p>	<p>KB outlined the LA recommendations as:</p> <ul style="list-style-type: none"> <li>• Growth fund to be set at £1.1 million for the financial year 2021-22</li> <li>• Maintain a 0% transfer to the High Needs Block</li> <li>• The Minimum Funding Guarantee (MFG) remains between +0.5% and +2.0% per pupil and is set at +1.98%</li> <li>• Block transfer of £122,000 Education Welfare Services budget to Central block</li> <li>• £60K to be provided for the Split Site for the single Nursery School in the borough with a split site</li> <li>• De-delegation of £132K for Trade Union Representation</li> </ul> <p>There was also a note included for the forum to propose alternative options.</p> <p>KB requested that the forum either takes a vote on the recommendations or recommends alternative.</p> <p>The Chair requested comments from Forum members and confirmed that the Schools Forum needed to make a decision based on the report and the results of the consultation.</p> <p>One member commented that the proposed recommendations were related to maintained schools and only maintained school members could vote on this.</p> <p>The Chair requested clarification from officers if Schools Forum members were being asked to vote based on the results of the consultation.</p> <p>Members voted on each recommendation as below by indicating thumbs up as a reaction in zoom. It was agreed all the recommendations would be voted by all maintained members and the last recommendation would be voted separately by secondary and primary school members.</p> <p><b>Growth fund to be set at £1.1 million for the financial year 2021-22</b> One member raised that unspent money needed to be rolled out through the APT. MA confirmed that this would be brought back to Schools Forum. <b>ACTION : BS/KB/MA</b></p> <p><b>The votes cast for this motion were:</b> 7 votes in favour No votes against <b>The item was agreed unanimously.</b></p>	<p>BS/KB/MA</p>
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<p><b>8.3.7</b></p> <p><b>8.3.8</b></p> <p><b>8.3.9</b></p> <p><b>8.3.10</b></p> <p><b>8.3.11</b></p>	<p><b>Maintain a 0% transfer to the High Needs Block</b>  <b>The votes cast for this motion were:</b>  4 votes in favour  No votes against  <b>This item was agreed unanimously</b></p> <p><b>The Minimum Funding Guarantee (MFG) remains between +0.5% and +2.0% per pupil and is set at +1.98%</b>  <b>The votes cast for this motion were:</b>  4 votes in favour  No votes against  <b>This was agreed unanimously</b></p> <p><b>Block transfer of £122,000 Education Welfare Services budget to Central block</b>  <b>The votes cast for this motion were:</b>  7 votes in favour  No votes against  <b>This was agreed unanimously</b></p> <p><b>£60K to be provided for the Split Site for the single Nursery School in the borough with a split site</b>  <b>The votes cast for this motion were:</b>  7 votes in favour  No votes against  <b>This was agreed unanimously</b></p> <p><b>De-delegation of £132K for Trade Union Representation</b>  <b>Primary Maintained Schools</b>  <b>The votes cast for this motion were:</b>  6 votes in favour  No votes against  <b>This was agreed unanimously</b></p> <p><b>Secondary Maintained Schools</b>  <b>The votes cast for this motion were:</b>  3 votes in favour  No votes against  <b>This was agreed unanimously</b></p>	
<p><b>9</b></p>	<p><b>Schools in Financial Difficulty Programme Update</b></p>	
<p><b>9.1</b></p>	<p>BS explained the report is being tabled in response to the request made at the last Schools Forum meeting for a cost benefit analysis and a report on the impact on schools. The report outlines the benefits of the programme with three case studies.</p>	

9.2	He also reported that surveys were carried out on Survey Monkey to obtain feedback on the programme. Overall there has been a satisfactory or very satisfactory and positive feedback from schools and governors.	
9.3	The team have been improving internal systems and in addition clear systems have been put in place to assist School Business Managers to track and report on expenditure.	
9.4	BS confirmed that that the Schools in Financial Difficulty Programme will end next year, following the decision made at Schools Forum to no longer have a separate budget for schools in financial difficulty.	
<b>10</b>	<b>Early Help and Preventative Services Update</b>	
10.1	This update was brought forward from the previous Schools Forum Meeting on 3 <sup>rd</sup> December 2020. MC presented his update and invited members to email him with any questions.	
10.2	He explained that the presentation gives a breakdown of the Early Help budget and an overview of the service for the last 12 months.	
10.3	He highlighted that the Early Help service is funded as below: <ul style="list-style-type: none"> <li>• <b>DSG - £1230.00</b></li> <li>• <b>Troubled Families - £1091.00</b></li> <li>• <b>General Fund -£1164.00</b></li> </ul>	
10.4	The youth service predominately takes troubled families and general fund The per child funding allocated is : £1458	
10.5	There has been a 14% rise of service take up from April to December 2020, with the pandemic particularly in the West of the borough. A further increase in referrals is anticipated with tougher restrictions and further lockdowns. Many families in Muswell Hill, Crouch End and Highgate who work in the arts or are self-employed are struggling financially. There has also been an increase in the four key indicators of domestic abuse, child and adult mental health concerns, child abuse and families in financial crisis.	
10.6	In addition MC reported that : <ul style="list-style-type: none"> <li>• Towards the end of last year 56% of families had outcomes achieved.</li> <li>• The service aims to ensure that the number of families disengaging reduces.</li> <li>• There is an expectation that by March 2021 assessments are completed in a timely manner.</li> <li>• It was reported that the NEET position has improved, last year Haringey was joint bottom with DfE intervention. This has</li> </ul>	

	<p>progressed with Haringey being above the London average and being out of the 5<sup>th</sup> quintile.</p> <ul style="list-style-type: none"> <li>• The outcomes and impact of the work is now monitored closely.</li> <li>• The Early Help panel has been reviewed, there have been over 100 cases heard since inception</li> <li>• Early intervention /contextual safeguarding work has been taking place</li> </ul>	
10.7	<p>The Chair thanked MC for his report and for the positive work that has been carried out and invited questions from members.</p> <p>One member asked how the funding from the High Needs Block into the Early Help budget is decided and suggested it should be reviewed. He explained that special school children wouldn't go to Early Help.</p> <p><b>Another member commented that it was a historic amount the original rationale that High Needs Block funding was originally intended to prevent matters progressing further.</b></p>	
10.8	<p><b>Members agreed that it should be reviewed by the High Needs Block working party. ACTION : MD/NJ</b></p> <p>AG agreed that the strategy needs to be reviewed. MC confirmed that the draft strategy has been taken to Primary Headteachers.</p>	MD/NJ
<b>11</b>	<b>Scrutiny Panel Restructure</b>	
11.1	<p>It was agreed that this item will be deferred to the next Schools Forum Meeting as ER had given apologies to take an urgent telephone call.</p> <p><b>ACTION: ER lead item on Scrutiny panel restructure at the next meeting.</b></p>	ER
<b>12</b>	<b>Updates from working parties</b>	
12.1	<p><b>Early Years</b></p> <p>MM reported that a meeting had taken place. She reported that EY settings had not been funded adequately and had remained open during the lockdown. The settings had remained open for vulnerable pupils like mainstream schools. Many pupils were not attending as parents did not want them to attend. There were concerns that there will be 50% less places with serious financial implications. The government had not funded early years settings.</p>	
12.2	<p>Another member confirmed that there were inconsistencies as some settings remain open with a number of pupils whilst others have needed to close.</p>	
12.3	<p>A member commented that the DfE had confirmed that it would fund Early Years settings if they remained open for all pupils.</p>	

12.4	CP confirmed that the Leader of the Council had written to the government and the LA had been lobbying to ensure settings stay open.	
12.5	One member commented that some settings will need to take into consideration staffing levels and if there was less than a third of staff at work settings can close under section 44..	
12.6	EH – Trade Union representative requested that members contact him if his advice is required.	
12.7	<p><b>High Needs</b></p> <p>MD reported that the working party met on 30<sup>th</sup> November 2020. The minutes had been circulated and were a precursor to the report tabled by NJ at the last forum meeting. The main items discussed were:</p> <ul style="list-style-type: none"> <li>• High expenditure on the out of borough SEMH and autism places</li> <li>• The high number of pupils on EHCP</li> <li>• Budget recovery bigger picture – which continues to be a concerning position</li> <li>• Haringey continues to be in the middle particularly in comparison to other London LAs</li> </ul>	
12.8	<p>One member raised that social care officers are not present at review meetings for older meetings.</p> <p>NJ confirmed that work is taking place to ensure all stakeholders are involved.</p> <p>Members highlighted the importance of adult social care being present.</p>	
14.	<b>ANY OTHER URGENT BUSINESS</b>	
14.1	PC confirmed that there is a Primary Headteacher vacancy on the Early Years working group. Representation on the group is particularly important at present. The Early Years working party will raise this with some Headteachers who may be interested. <b>ACTION : MM</b>	<b>MM</b>
15.	<p><b>DATE OF FUTURE MEETINGS</b></p> <ul style="list-style-type: none"> <li>• 25 February 2021</li> <li>• 24 June 2021</li> </ul>	

There being no further business the Meeting closed 5.50pm

## SUMMARY OF ACTIONS

ITEM	ACTION	FOLLOW UP
4.2	7.2.20 Page 6 Addition : Any unspent funds from the end of quarter 4 should be re-profiled from January - March into the APT	NA- Clerk
4.3	Provide an update on the move to the hard funding formula and confirm if the time frame will be either 2022-23 or 2023 - 2024	BS/MA/KN
4.3	Amend the minutes of the meeting on 3 December as follows: <ul style="list-style-type: none"> <li>• <b>7.2.3 – Accuracy</b> Charges should be replaced with <b>changes</b></li> <li>• Confirm the timeframe for the move to the hard funding formula</li> </ul>	NA - Clerk
6.1	Send the Clerk a letter to be circulated to Chairs of Governors to be circulated to all governors calling for nominations for the vacant posts and voluntary placements	MM (HGA)
8.2.4	Report on the output from the deficit recovery plan meetings	BS/KB/MA
8.3.6	Update on unspent funds from growth fund being rolled out through the APT.	BS/KB/MA
10.8	Review funding arrangements from the High Needs Block into the Early Help budget	MD/NJ
11.1	Lead an item on the Scrutiny Panel restructure	ER
14.1	Circulate a request to Primary Headteachers for a representative on the Early Years working group	MM

<b>Agenda Item</b>
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<b>Report Status</b>
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For information/note <input checked="" type="checkbox"/> For consultation & views For decision
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### Report to Haringey Schools Forum – 25<sup>th</sup> February 2021

<b>Report Title: Projected Out-turn for 2020-21 DSG.</b>
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<b>Authors:</b>
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<b>Purpose:</b>
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| <ol style="list-style-type: none"> <li>1. Update on 2020-21 Financial position at period 9.</li> <li>2. Update of Deficit Recovery Plan.</li> </ol> |
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<b>Recommendations:</b>
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| <ol style="list-style-type: none"> <li>3. School forum to note the progress of the Deficit Recovery Plan.</li> <li>4. School forum to recognise that the Deficit Recovery cannot be self-contained within the High Needs Block and SEND sector and a cultural shift in the Borough should be considered as necessary to instigate meaningful change.</li> <li>5. To produce for next forum a more statistic led report detailing current SEND climate, at the conclusion of the current stage of intelligence and data gathering to be led by the key decision makers.</li> </ol> |
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## **2 Introduction.**

- 2.1 In July 2017, DfE announced the introduction of the national funding formula (NFF) which was supported by additional investment in 2018-19 and 2019-20. The additional funding over the last two years, has enabled the council to maintain per-pupil spending on the schools and high needs blocks.
- 2.2 The 'soft' formula was originally planned for 2018-19 and 2019-20 only, with a 'hard' formula, without local input, to be implemented in 2020-21. However, the DfE announced that there will be no changes for 2021-22. The "hardening" of the formulas is still being reviewed by central government and will be reported back when the DfE confirm implementation.
- 2.3 At the January 2020 Schools Finance LMS (Local Management of Schools) Exchange Group Meeting of LA Schools Finance officer and the DfE. The department confirmed that conversations with ministers for the "hardening" of the NFF to commence in Spring 2021. First stage consultation will be followed by a second stage consultation in 2022. Each part of the formula will be looked at in detail. But the DfE are unable to say when it is likely to be administered.
- 2.4 The final DSG block allocation for 2021-22 was published on 15<sup>th</sup> December 2020. The Teachers Pay Grant and Teacher Pension Grants have been rolled into the main DSG for 2021-22.
- 2.5 This paper sets out a summary of DSG's four blocks' financial position for the financial year 2021-22.
- 2.6 The policy document which sets out the background and principles of the new National Funding Formula for schools can be found at:  
[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/648532/national\\_funding\\_formula\\_for\\_schools\\_and\\_high\\_needs-Policy\\_document.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/648532/national_funding_formula_for_schools_and_high_needs-Policy_document.pdf)
- 2.7 The ESFA have published the allocations tables and supporting documents which show how much funding each local authority will receive, and how that funding has been calculated can be found at:  
<https://www.gov.uk/government/publications/dedicated-schools-grant-dsg-2021-to-2022>  
Further breakdown of the funding blocks for Haringey can be found:  
<https://skillsfunding.service.gov.uk/view-latest-funding/local-authority/statement/309>
- 2.8 The conditions of grant have also been updated on the 17<sup>th</sup> December 2020. This includes changes to treatment of deficits and reporting, and can be found:  
<https://www.gov.uk/government/publications/dedicated-schools-grant-dsg-2021-to-2022/dsg-conditions-of-grant-2021-to-2022>

## **3 Projected Outturn of Dedicated Schools Grant 2020-21**

- 3.1 The predicted DSG forecast financial position for the financial year 2010-21 at period 9 is a £6.55M in year deficit. The HNB continues the main material pressure to the DSG accounting for £6.49M of this total. It should be noted that the P9 figures are those that are reported through to Cabinet. The P10 Projections indicate an increase in HNB Top



Ups and Further Education Costs so the out-turn deficit may increase further by March 2021.

- 3.2 Detailed reporting on HNB and EYB will be presented by the council services and are discussed in detail at their working groups.

**Table A: 2020-21 Haringey  
DSG Out-turn @ Period 9.**

2020-21 DSG Budget Forecast @ P9	Schools Block (£000)	Central (£000)	High Needs (£000)	Early years (£000)	Total (£000)
Schools Block DSG funding settlement	130,166.44	2,945.89	38,942.50	20,823.13	<b>192,877.96</b>
Schools Block to High Needs Block (0.25%)	0.00	0.00	0.00	0.00	<b>0.00</b>
Growth Fund	0.00	0.00	0.00	0.00	<b>0.00</b>
Additional SEN funding	0.00	0.00	0.00	0.00	<b>0.00</b>
<b>Total funding Allocation</b>	<b>130,166.44</b>	<b>2,945.89</b>	<b>38,942.50</b>	<b>20,823.13</b>	<b>192,877.96</b>
<b>Projected Expenditure</b>	<b>130,166.44</b>	<b>2,953.88</b>	<b>45,435.68</b>	<b>20,871.99</b>	<b>199,427.99</b>
<b>In year Position</b>	<b>0.00</b>	<b>-7.99</b>	<b>-6,493.18</b>	<b>-48.86</b>	<b>-6,550.03</b>
<b>B/fwd Balances</b>	<b>0.00</b>	<b>-10.26</b>	<b>-10,066.96</b>	<b>-107.53</b>	<b>-10,184.75</b>
<b>Net Position</b>	<b>0.00</b>	<b>-18.25</b>	<b>-16,560.14</b>	<b>-156.39</b>	<b>-16,734.78</b>

- 3.3 The increase in High Needs Block expenditure correlate with the growth in EHCP plans in Haringey.

#### **4 Dedicated Schools Grants (DSG) Deficit recovery Plans Update/National and Other LA's**

- 4.1 The following is a brief overview of the most recent updates to provide school forum with an overview of the current SEND and deficit recovery climate that is operating nationally and at a more local authority level. Sections 3.2 to 3.5 paraphrase the Departments response to questions on what is the Department doing to support LA's

##### **DFE Approach to Deficits**

- 4.2 The DfE's have stated to a number of working groups (SWEGCS and LMS Exchange) they are communicating with ministers and the Treasury for the need for more funding to be released. It requires ministerial direction and treasury acceptance.
- 4.3 They are working with ESFA colleagues on the SEND review and are keen from a financial perspective that whatever comes out will not increase costs but hopefully get a more sustainable system in place. They state their hopes are whatever is done nationally will make a difference locally and are working with LA's that have particular problems - this work is being led by EFSA colleagues. Conversations have started with a handful of LA's with the most serious cumulative deficits to find out what the best way forward is for those LA's and will be extending this programme of work over the coming year. Look more widely at what can be done to help LA's by way of guidance and support (not

necessarily financial support) and will be looking at LA information and submissions as to who to support.

- 4.4 The DfE acknowledge recoupment is an issue so looking to update / tweak some feedback on it. The SEN review will look at all of this and hopefully come up with proposals that will make a difference.

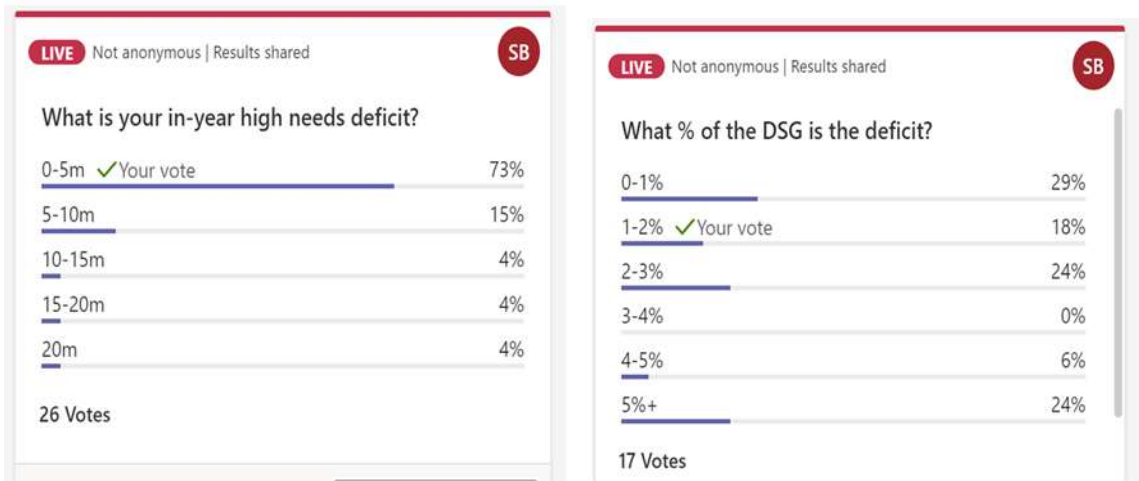
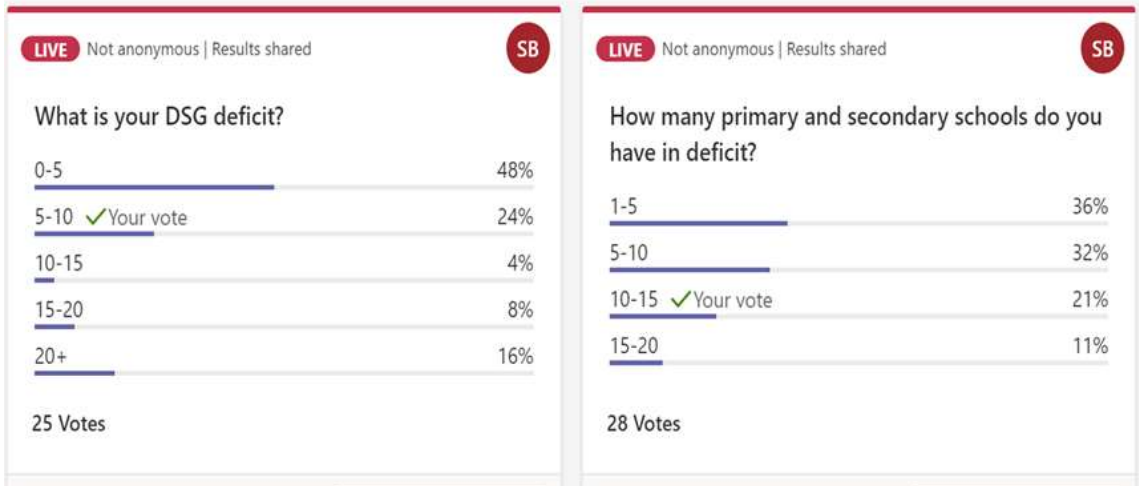
**Haringey Compared to Other Local Authorities**

- 4.5 Haringey’s deficit position is not unique across other boroughs. Appendix below details the result of a recent poll held at the most recent LMS Exchange with other Local Authority Officer supporting Schools/Education. The results are only indicative may not reflect the final out-turn. But it is fair to say there are other LA’s who may have larger deficits and a different range of issues.

The polls below were taken from the January LMS exchange between a number of London/South East LA’s. \*Haringey did not answer all these questions at the time. “Your vote” is Enfield’s position.

For reference:

Haringey’s DSG Deficit is between £5M – £10M, with X School’s in Deficit. The in year high needs deficit is between £5M – £10M. Haringey’s % of DSG in Deficit is 3.55%. Appendix A – DSG Out-turn Polls.



### Deficit Recovery Options/Plans

- 4.6 Haringey's projected DSG cumulative deficit, at this point is expected to be £16.73m by the end of financial year 2020/21. If recover by the end of 2023/24 is to be achieved, this will mean savings on average of **£5.58m** in each of the following three years: 2021/22 through to 2023/24. This requires establishment of a recovery plan over the next 3 years. recovery will be challenging even with the additional government funding, due to significant projected growth and growing complexity within this service area
- 4.7 There is nil LA maintained DSG reserves to support any deficits. The current arrangement is that all Schools maintain 100% of their surplus/deficit balances. Other LA's use a threshold methodology where a school can only retain a percentage surplus with the difference retained by the LA for use in supporting LA Maintained School Strategies i.e. creation of falling roll fund or a re-share out across schools. *\*Fact check this.*
- Despite Government increase in HNB funding for 2021-22 it is not sufficient to meet the growing demand in the borough; and evidence and trends across the country confirm this also.
- 4.8 The current plans for any underspends in specific school funding segments i.e. Growth Fund – is to highlight these variances to Schools Forum and agree a treatment. Some underspends may be required to meet the deficit recovery.
- 4.9 The LA has the option to apply for disapplication's to Blocks to support meeting the deficit but any decisions to apply this would be grounded in the appropriate research and modelling of consequences for all stakeholders. It is apparent a whole system review is required so the expectation is 2021-22 will be a year where review of the whole system is required. To allow settings to continue in line with previous years operations but with the expectation that 2022-23 will usher in system wide changes.
- 4.10 As commented on in previous School Forums by SEND/Early Year reports. The HNB deficit cannot be wholly contained within this block and there will be a push to make decisions in the approach to meeting the deficit that will impact on all Schools in the borough.
- 4.11 The Head of Service for SEND provided the overarching strategy for the Deficit Recovery Plan at the December forum. The current status is the need to draw together the different LA working groups that are engaged with High Needs as these individual projects all dove tail into reducing the deficit. This includes Haringey's SEND Review and Alternative Provision work. Appendix B – as attached provides a progress report.
- 4.12 A detailed timetable and milestones for the recovery plan will be provided by the services.
- 4.13 Recovery plans should be discussed with Schools' Forums and be signed off by the local authority's chief financial officer (CFO) before the plans are submitted to the DfE. Therefore, we plan to provide Schools Forum with recovery plan updates throughout the academic year.
- 4.14 Updates from the DfE regarding DRPs will be provided to Schools Forum when they are received.
- 4.15 A data pack to be provided at the next School's Forum to provide a robust illustration of the scale of the problem. This will then allow for Year End to be completed and bedding in of permanent SEND Officers.

End of Report

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**Appendix 1:**

Please refer to the report: "High Needs Block Budget projected position for 2020/2021" at 3rd December 2020 School Forum.  
The information below were the Head of SEND's main focus on addressing the Deficit Recovery. The table expands out progress and outcomes sought.

6.3 These are the key areas of focus.	Progress	Outcomes Sought
<p>SEND Support – the development of core standards to ensure that all children and young people receive a consistent offer at all schools within Haringey.</p>	<p>Growth Agreed in LA's MTFS with additional General Funding to support SEND restructure. Development work to be completed in collaboration with primary and secondary heads as well as wider partners to support children pre EHCP. This work will be captured and overseen by SEND executive via SEF action plan.</p>	<p><b>*An equitable review of EHCP plans that are fit for purpose, and are timely and up to date.</b> *The ultimate operational goal is the availability of up to date solid metrics. <b>*Greater control and transparency of funding streams.</b> *An understanding of the funding thresholds and the "real" value they provide to influence decision making. <b>*Assurances and cleansing of the data underpinning the SEND sector in Haringey to be finalised to inform savings decisions.</b> *Assign named officers/support to the different workstreams and ensure linking to appropriate stakeholder group.</p>
<p>Focused piece of work on the 20-25 cohort – the data and analysis detailed in table 6 see below requires further understanding to articulate the tasks required to reduce this number in line with national figures.</p>	<p>Data analysis required to direct actions for the 20-25 cohort. This work will be delivered in partnership with FE providers and adult services.</p>	
<p>Review of the high needs funding system – it must be recognised that this piece of work is unlikely to secure any short-term savings. However, the development of a responsive and transparent system will ensure clarity and confidence across the system.</p>	<p>Profiling of the EHCP population is underway. This will indicate the type and number of placements required. Work on costing/banding of support of is also underway. The combination will support an opportunity to more accurately predict and project future costs and will shape future services. This in turn will drive work on efficacy.</p>	
<p>Consideration for the development of further provision within Haringey – a heavy reliance on local independent sector provision for two categories of need certainly supports the development of further local provision to meet the needs of children and young people with ASD and SEMH.</p>	<p>This will link to above. Will also be supported by pupil/place planning and strategic commissioning.</p>	
<p>Sufficiency planning – the need to effectively understand growth and need across the system to ensure effective planning and remove the position where the LA is required to utilise independent provision as we have sufficient provision in Haringey.</p>	<p>As above - an understanding of the sufficiency requirements will be related to improvements in data and information gathering which is currently underway. A single record system is expected to be in place by 31/03/21</p>	
<p>AP review – the development of nurture hubs to provide early intervention to children at an early stage in their educational journey. To explore the possibility of developing Haringey SEMH specialist provision. To bring in house current provision delivered by the private sector for children and young people with EHCPs. Further updates will be provided to Schools' Forum focusing on the specific areas.</p>	<p>The AP review links to the activity described in knowing the cohort and their needs, links to strategic commissioning and the ongoing management and review of support pre EHCP. This activity will be captured and overseen by the SEND executive and reported to the Start Well Board.</p>	

**NEXT STEPS: Identify the resources available and align action plans and programme plans to the SEF and AP Review**

	Under 5 years of age	Aged 5-10	Aged 11-15	Aged 16-19	Aged 20-25	Total
Haringey	7	68	73	49	19	215
Hackney	14	86	81	29	4	214
Waltham Forest	16	81	64	34	9	204
Southwark	8	74	71	52	18	222
Lambeth	11	85	86	52	12	246
Lewisham	5	79	83	56	15	239
Enfield	6	80	74	39	15	214
Greenwich	5	60	63	36	10	174
Islington	8	59	53	29	6	154
Hammersmith and Fulham	4	74	62	38	12	191
Croydon	9	76	78	43	7	214
England	8	67	72	44	11	202

Table 6 split of EHCP per 10,000 0-25 year olds. (SEN2 data)

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<b>Agenda Item</b>
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<b>Report Status</b>
For information/note <span style="float: right;">X</span>
For consultation & views <span style="float: right;"><input type="checkbox"/></span>
For decision <span style="float: right;">X</span>

**Schools Forum Meeting – 25<sup>th</sup> February 2021**

<b>Report Title:</b> DSG Early Block Budget 2021-22
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<b>Author:</b>
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<b>Ngozi Anuforo, Head of Strategic Commissioning, Early Help &amp; Culture</b>
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Contact: 0208 489 4681 Email: <a href="mailto:Ngozi.anuforo@haringey.gov.uk">Ngozi.anuforo@haringey.gov.uk</a>
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<b>Purpose:</b> To provide Schools Forum with an overview of the Early Years Block Budget Allocation for 2021-22.
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<b>Recommendations:</b>
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- |  |
|--|
| <ol style="list-style-type: none"> <li>1. That Schools Forum notes the indicative funding for the Early Years Block in 2021-22.</li> <li>2. That Schools Forum agrees the proposed allocation of the Early Years Block towards the funding rate for 2021-22 as set out in this report.</li> <li>3. That Schools Forum notes and agrees the proposed budget allocation for centrally retained funds as set out in this report.</li> </ol> |
|--|

## 1. **Introduction**

- 1.1 This paper seeks to provide Schools Forum members with an overview of the early years block funding within DSG for 2021-22.

## 2. **Early Years Block Funding**

- 2.1 The Early Years Block is provided for the Council to meet its statutory duties under the Childcare Act 2006 and is expected to fund:

- The Free Early Education Entitlement for all three- and four-year olds through an Early Years Single Funding Formula (EYSFF)
- The free entitlement for eligible two-year olds
- The Early Years Pupil Premium
- Access for disabled children
- Support for Maintained Nursery Schools

- 2.2 In addition to delivering the early years free entitlement, it is mandated that all local authorities have in place an Early Years Inclusion Fund to support 3 & 4-year-old children, with special educational needs, below the threshold for ECHPs, to access their free entitlement. Amongst the few budgets and services provided directly from the high needs block for children without an EHCP, the Early Years Inclusion Fund, is now provided as part of the Council meeting its statutory obligations.

## 3. **Early Years DSG 2021-22**

- 3.1 In December 2020, Haringey Council was notified of its indicative early years block funding allocation for 2021-22. The Government also set out changes to the funding rates for the delivery of the universal and extended free entitlement for 3- and 4-year olds, as well as the funding rate for delivery of the free entitlement for eligible two year olds. The new funding rates come into force from April 2021 and are reflected in the overall amount shown in the Council's allocation for 2021-22.

- 3.2 Guidance from government on the use of the early years block has been updated and can be found at:  
[https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/945677/Early\\_years\\_entitlements\\_local\\_authority\\_funding\\_of\\_providers\\_operational\\_guide\\_2021\\_to\\_2022.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/945677/Early_years_entitlements_local_authority_funding_of_providers_operational_guide_2021_to_2022.pdf)



3.3 It should be noted that amongst the actions for the local authority some key requirements are set out in the statutory guidance. These include the following:

3.3.1 Local authorities are not required to establish a special educational needs inclusion fund (SENIF) for 2-year-olds. However, they may wish to do so as part of their provision for children with special educational needs (SEN).

3.3.2 Local authorities are required to pass-through 95% of their 3 and-4-year-old funding from the government to early years providers. This pass-through requirement ensures that the vast majority of government funding reaches providers so that they can deliver the government's free entitlements. This means that local authorities, in planning their budget allocations for the forthcoming financial year, need to allocate at least 95% of their EYNFF hourly rate to providers.

3.3.3 The '95%' includes the following budget lines:

- base rate funding for all providers
- supplements for all providers
- lump sum funding for MNS (please note any funding from the DfE's MNS supplementary allocation will be excluded—see below)
- the top-up grant element of SENIFs paid to providers
- contingency funding

3.3.4 The remaining 5% of expenditure could include the following:

- centrally retained funding (for central services or services in-kind, including special educational needs and disability (SEND) services)
- transfer of any funding to 2-year-olds
- any extra hours that local authorities choose to fund in addition to the government's entitlement hours for 3 and 4-year-olds.
- any funding movement out of the early years block

3.3.5 The following DSG early years block funding streams are not included in the 95% pass-through calculation:

- funding for the entitlement for disadvantaged 2-year-olds.
- payments to MNS from DfE's MNS supplementary funding allocation.
- the disability access fund (DAF).
- the early years pupil premium (EYPP).

#### **4. Early Years DSG Budget Profile for 2021-22**

4.1 Haringey's early years DSG budget profile reflects budget allocations notified to Haringey Council by DfE in December 2020. The allocation

is indicative, and subject to adjustment by DfE following their review of the early years and schools census submissions for January 2021.

- 4.2 The census that determines Haringey Council's allocation for the early years element of DSG is the one that takes place in January each year. During census week, a count of the number of pupils taking up a free entitlement place across all the ages is captured along with pupil level data, including whether they have special educational needs. All providers of the free early education entitlement are required to submit information based on those pupils attending their setting during the census week.
- 4.3 Any adjustments to Early Years DSG allocations are applied by DfE between August and September of the same year as a particular January census and represent DfE reaching a final position on the Council's budget allocation for the previous year.
- 4.4 Our indicative allocations for 2021-22 are as follows:

**Table 1:** Haringey's Indicative DSG Early Years Block Allocation for 2021-22

<b>Funding Stream</b>	<b>2021-22 Indicative Allocation (£m)</b>
3 & 4 YO Universal Free Entitlement (15hr)	12, 886,855
3 & 4 YO Extended Free Entitlement (Additional 15hrs)	4,309,107
2YO Offer	2,432,749
Early Years Pupil Premium	119,632
Disability Access Fund	71,340
Maintained Nursery Schools	1,216,623
<b>TOTAL</b>	<b>21,036,306</b>

- 4.5 In their notification of the 2021-22 allocation, the DfE highlighted that for the allocation of sustainability funding for Maintained Nursery Schools (MNS), part of the allocation is indicative, and part is conditional. This means that allocations for April 2021 to August 2021 are indicative and will be updated on the same basis as the universal entitlements. DfE has stated that is the only adjustment that will be made to those figures.

- 4.6 The MNS supplementary funding allocations for September 2021 to March 2022 are conditional, meaning they may be subject to change and local authorities should therefore treat them as unconfirmed.
- 4.7 The 2021-22 allocations shown in **Table 1** reflect an increase in the funding rates for 2, 3- and 4-year free entitlements. As part of the November Spending Review, DfE has increased the hourly funding rates for all local authorities by 8p an hour for the two-year-old entitlement and, for the vast majority of areas, by 6p an hour for the three- and four-year-old entitlements.
- 4.8 The funding rate for the Early Years Pupil Premium remains the same at 53p per hour per eligible child, and the Disability Access Fund at £615 per eligible child per year.
- 4.9 **Appendix A** sets out the impact of the changes in the calculation of a proposed new funding base rate for 3- and 4-year olds of **£5.13** per hour. The two-year olds funding rate increases to **£5.82**. These new rates will be applied from April 2021.
- 4.10 The proposed distribution of the block is also set out in **Appendix A** and outlines proposals for the centrally retained elements. The breakdown of the proposed use of the centrally retained block is set out in the table below:

**Table 2: Centrally Retained Breakdown 2021-22**

Elements	2019 (£)	2020 (£)	Proposed for 2021 (£)
EY Commissioning – Sufficiency and Funding Administration	244,320	320,000	320,000
EY Quality Statutory Moderation and Advisory Service	441,310	471,310	471,310
Corporate Overheads	19,000	19,000	19,000
TU Representation	18,000	18,000	18,000
<b>TOTAL</b>	<b>722,630</b>	<b>828,310</b>	<b>828,310</b>

- 4.11 **Appendices B and C** provide further details of the proposed centrally retained expenditure for 2021-22.

DRAFT

APPENDIX A

Year	3-4 Year olds				2-Year olds	
	<u>2020-21</u>	<u>2020-21</u>	<u>Provisional</u>	<u>Provisional</u>	<u>2020-21</u>	<u>Provisional</u>
	<u>(15hrs)</u>	<u>(Ext)</u>	<u>2021-22</u>	<u>2021-22</u>		<u>2021-22</u>
Original Rate	5.66	5.66	5.74	5.74	5.66	5.74
DfE uplift	0.08	0.08	0.06	0.06	0.08	0.08
Funding Rate	<b>5.74</b>	<b>5.74</b>	<b>5.80</b>	<b>5.80</b>	<b>5.74</b>	<b>5.82</b>
	5.74	5.74	5.80	5.80	5.74	5.82
Centrally Retained (5%)	(0.29)	(0.29)	(0.29)	(0.29)		
	5.45	5.45	5.51	5.51	5.74	5.82
Supplements	(0.38)	(0.38)	(0.38)	(0.38)		
Universal Base Rate	<b>5.07</b>	<b>5.07</b>	<b>5.13</b>	<b>5.13</b>	<b>5.74</b>	<b>5.82</b>
	<u>2020-21</u>	<u>2020-21</u>	<u>Provisional</u>	<u>Provisional</u>	<u>2020-21</u>	<u>Provisional</u>
	<u>(15hrs)</u>	<u>(Ext)</u>	<u>2021-22</u>	<u>2021-22</u>		<u>2021-22</u>
2020-21 Indicative Funding	12,763.00	3,926.00			2,248.00	
No. of places funded	<b>3,901.06</b>	<b>1,200.25</b>	<b>3,898.02</b>	<b>1,303.42</b>	<b>687.15</b>	<b>733.33</b>
3 & 4 YO Universal Free Entitlement (15hr)	12,763.49		12,886.85			
3 & 4 YO Extended Free Entitlement (Additional 15hrs)		3,926.98		4,309.11		
2YO Offer					2,248.22	<b>2,432.75</b>

Funding Element	Provisional	
	<u>2020-21</u>	<u>2021-22</u>
	<u>Allocations</u>	<u>Allocations</u>
	<u>(£m)</u>	<u>(£m)</u>
3 & 4 YO Universal Free Entitlement (15hr)	12,763	12,887
3 & 4 YO Extended Free Entitlement (Additional 15hrs)	3,927	4,309
5% apportionment	<b>835</b>	<b>859.80</b>
<b>Centrally Retained Breakdown</b>		
EY Quality Statutory Moderation and Advisory Service	471	471
EY Commissioning – Sufficiency and Funding Administration	320	320
Corporate Overheads	19	19
TU Representation	18	18
	<b>828</b>	<b>828</b>
<b>Additional Pass through</b>		
Quality Supplement	76	76

<b>Full DSG 2021-22 Allocation</b>	
<u>Funding Element</u>	<u>Provisional</u>
	<u>2021-22</u>
	<u>Allocations</u>
	<u>(£m)</u>
3 & 4 YO Universal Free Entitlement (15hr)	12,105
3 & 4 YO Extended Free Entitlement (Additional 15hrs)	4,094
2YO Offer	2,433
Quality Supplement	76
Early Years Pupil Premium	120
Disability Access Fund	71
Maintained Nursery Schools	1,217
Contingency for Pupil Place Funding	62
Centrally Retained	860
<b>TOTAL</b>	<b>21,037</b>

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EY Quality Improvement Element of Centrally Held Funding

<u>Cost Element</u>	<u>FTE</u>	<u>£</u>
Principal Advisors for Early Years		150,000
Advisory Teachers x 2.8		227,000
Data and Administration		94,000
<b>Total</b>		<u>471,000</u>

This allocation of funding supports the delivery of the 3 and 4 free entitlements and enables support and intervention for targeted schools and settings to ensure the sufficiency of good and outstanding provision and enabling greater provider participation. The funding will enable support and intervention for targeted schools, private, voluntary, independent (PVI) and childminder settings. The team also moderate the Early Years Foundation Stage Profile (EYSFP) to secure standards across the early years sector. We currently have 239 childminders, 80 PVI providers, 3 nursery schools and over 60 schools offering early education. Through targeted support, challenge and a comprehensive training programme the Early Years Team work with all childcare providers to support raising standards, narrowing gaps and ensuring the highest quality practice for our children and families. The rapid improvement of Ofsted results and the Good Level of Development show the proven record of success of the team.

**EH Commissioning Element of Centrally Held Funding**

<b><u>Cost Element</u></b>	<b><u>FTE</u></b>	<b><u>£</u></b>
Funding Management		100,000
Brokerage Officer	0.5	26,000
Funding Administration		70,000
Childcare Sufficiency		68,000
Business Development (Commissioned support)		15,000
Database (Systems support, licenses, upgrades, maintenance)		25,000
Marketing & Outreach		15,000
<b>Total</b>		<b><u>319,000</u></b>

**Additional Elements**

Corporate Overheads		19,000
Trade Union Representation		18,000

The functions required for the delivery of the universal and extended 3 & 4-year-old free entitlement offers include regular data collection, collation and financial reconciliation through the management of the census process, monitoring and reporting on take-up, the routine processing and allocation of funding; including the set up of new providers in the borough. Additional functions include statutory requirements such regular audits, acting to remove funding where providers become inadequate and supporting children and families to access alternative provision in light of a withdrawal of funding. Work has been undertaken to streamline and automate our processes, moving much of the information submission, application and eligibility checking to on-line systems.



The processing of separately funded streams such as the 2-year-old programme, Early Years Pupil Premium, Disability Access Fund and SEN Inclusion funding means that there is a significant amount of administration undertaken by the Council. This allocation of DSG funding ensures the staffing resources required are in place to ensure our statutory processing and payment obligations can be met. In addition to this, there is a contribution towards the costs of the capacity we need in order for the council is able to continue meet its duties, under the Childcare Act 2006; with the provision of business development support across all types of provision offering or wishing to offer the free entitlement and the brokerage function in order to maximise access and take-up of all types of free entitlement because of the positive benefit for children, particularly our most vulnerable and disadvantaged and also because of the impact this will have on levels of resources coming into the borough.

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<b>Agenda Item</b>
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<b>Report Status</b>
For information/note <input checked="" type="checkbox"/>
For consultation & views <input type="checkbox"/>
For decision <input type="checkbox"/>

**Report to Haringey Schools Forum – 25 February 2021**

<b>Report Title: Growth Fund 2021-22 Update</b>
<b>Authors:</b>  Carlo Kodsi - Head of Admissions and School Organisation Contact: 020 8489 1823 Email: <a href="mailto:Carlo.Kodsi@haringey.gov.uk">Carlo.Kodsi@haringey.gov.uk</a>  Muhammad Ali – Schools Finance Business Partner Contact: 020 8489 4491 Email: <a href="mailto:Muhammad.Ali@haringey.gov.uk">Muhammad.Ali@haringey.gov.uk</a>  <b>Report authorised by:</b>  Eveleen Riordan – Assistant Director, Schools and Learning
<b>Purpose:</b>  <b>To inform members of the Growth Fund liabilities for 2021-22</b>
<b>Recommendations:</b>  1. For Members information, regarding total cost of 2021-22 Growth Fund set out in Table 1.

**1. Introduction.**

- 1.1. This document outlines the purpose of the centrally retained Dedicated Schools Grant (DSG) Schools Growth Fund and the basis in which it will be distributed to eligible schools by the Authority.
- 1.2. Under the Schools Finance (England) Regulations of 2012, local authorities, with the agreement of the Schools Forum, are permitted to retain DSG to form a specific schools' contingency to support those schools that, with the prior agreement of the Authority, are permanently expanding and those schools experiencing significant in-year pupil roll increases. This specific schools' contingency is known as the Schools Growth Fund.
- 1.3. The funding changes introduced in April 2013 allow a local authority, with the approval of its Schools Forum, to top-slice a contingency for in year increases in pupil numbers. The Fund applies equally to maintained schools and recoupment academies and is designed to cover required in-year growth in forms of entry and not general variations in numbers experienced during the year.
- 1.4. Schools Forum agreed to allocate £932,000 to a Growth Fund for the 2020-21 financial year.
- 1.5. Officers are required to report all payments made against the Growth Fund to Schools Forum at least once a year. Any unspent Growth Fund will be carried forward and added to the formula allocations for the following financial year.
- 1.6. This funding is equivalent to pro-rata financial-year equivalent to 7 months, from September to March, funding for the number of additional pupils expected to join the school in the Autumn as a result of the temporary expansion within a particular year group. Academies are currently funded for the whole 12 months and council to recoup funding for the 5 months from the ESFA.
- 1.7. This funding is intended to support the additional revenue costs associated with the expansion; teaching and support staffing costs, resourcing classroom equipment and share of senior management salaries cost.
- 1.8. Payments from the Schools Growth Fund will be profiled over the period for which they cover and will be paid with the monthly budget share payments to schools.
- 1.9. The proposed allocation for secondary schools for bulges at para. 3 is based on theoretical modelling from school preference data for entry to secondary school in September 2021. The allocation will be adjusted based on necessity for bulge classes (i.e. how many Haringey residents still require a school place) when the offer iterative process for secondary transfer 2021 is completed in February 2021.

**2. Growth Funding Formula (Basis of allocations)**

The criteria agreed by the School Forum for allocations from the Growth Fund to support growth in pre-16 pupil numbers to meet the basic need are:

- Planned new form of entry approved by the Local Authority:

Age Weighted Pupil Unit (AWPU) x Expected Pupil	+	A set-up allocation of £500 for each pupil in a standard class size for	=	Allocation for the year
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Numbers x 7/12 months;		the relevant setting.		
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- In-year bulge class:
  - Start up and classroom costs as above;
- support additional classes needed to meet the infant class size regulation
- Ghost funding guarantee KS1:
  - Minimum basic per-pupil funding for 24 pupils in a bulge class established in a previous year: and
- KS1 classes forced to exceed 30 pupils as a result of appeals (further details of this criteria are set out in the Annex):
  - A lump sum equivalent to the funding of a main-scale 1-teacher £32.8k pro-rata to the part of the year.

### 3. Proposed Allocations 2021-22.

Indictive Growth Funding Allocation Financial Year 2021-22							
	(a)	(b)	c = (a x b)	(d)	(e = c + d)	(f)	(e x f)
	No of pupil	AWPU + TPG & TPCEG	Total	Setup - Cost (£500/pupil)	Total allocation (12 months)	No. of months	Total pro-rate allocation 21-22
<b>Growth Funding - School Names</b>							
Bounds Green Juinar (Community)	30	3,823	114,686	15,000	129,686	7.00	81,900
<b>Total Allocation</b>	<b>30</b>		<b>114,686</b>	<b>15,000</b>	<b>129,686</b>		<b>81,900</b>
	(a)	(b)	c = (a x b)	(d)	(e = c + d)	(f)	(e x f)
	No of pupil	AWPU	Total	Setup - Cost (£500/pupil)	Total allocation	No. of months	Total pro-rate allocation 21-22
<b>Bulge Funding - School Names</b>							
Park View School (Community)	27	5,688	153,579	13,500	167,079	7.00	103,088
Harris Academy Tottenham (Academy)	30	5,688	170,644	15,000	185,644	12.00	185,644
Gladesmore Community School	27	5,688	153,579	13,500	167,079	7.00	103,088
Hornsey School For Girls (Community)	27	5,688	153,579	13,500	167,079	7.00	103,088
Possible additional bulge class 1 - Secondary (TBC)	30	5,688	170,644	15,000	185,644	12.00	185,644
Possible additional bulge class 2 - Secondary (TBC)	27	5,688	153,579	13,500	167,079	7.00	103,088
Tiverton Primary School*	13	3,823	47,359	15,000	62,359	7	36,376
<b>Total Allocation</b>							<b>820,015</b>
*Tiverton Primary has already been allocated AWPU funding for 17 expected pupils in September 2020 as part of the amalgamation. AWPU funding is provided for the remaining 13 children together with set up cost of £500 per child for all expected 30 pupils within the bulge.							
						No. of months	Total pro-rate allocation 21-22
<b>Infant Class over size - School Names</b>							
Belmont Infant School		2,733				4	10,933
Bounds Green Infant School		2,733				7	19,133
Chestnuts Primary School		2,733				4	10,933
Rhodes Avenue Primary		2,733				12	32,800
Tetherdown Primary		2,733				5	13,667
Trinity Primary Academy		2,733				1	2,733
<b>Total Allocation</b>							<b>90,200</b>
Growth Funding							81,900
Bulge Funding							820,015
Estimated Infant Class over size							90,200
							<b>992,115</b>
<b>Growth Fund Allocation 2021-22</b>							<b>1,100,000</b>
<b>Balance carried forward</b>							<b>(107,885)</b>
* Additional classes in Secondary cohort have not been finalised but has been budgeted for in 2021-22 allocation.							

### Recommendations

1. For Members information, regarding total cost of 2021-22 Growth Fund set out in Table 1 above.

### Annex.

**Circumstances in which KS1 oversized class funding will be provided.**

The legal position is:

**Infant class size** – *Infant classes (those where most children will reach the age of 5, 6 or 7 during the school year) **must not** contain more than 30 pupils with a single schoolteacher. Additional children may be admitted under limited exceptional circumstances. These children will remain an 'excepted pupil' for the time they are in an infant class or until the class numbers fall back to the current infant class size limit. The excepted children are:*

*a) children admitted outside the normal admissions round with statements of special educational needs specifying a school;*

*b) looked after children and previously looked after children admitted outside the normal admissions round;*

*c) children admitted, after initial allocation of places, because of a procedural error made by the admission authority or local authority in the original application process;*

*d) children admitted after an independent appeals panel upholds an appeal;*

*e) children who move into the area outside the normal admissions round for whom there is no other available school within reasonable distance;*

*f) children of UK service personnel admitted outside the normal admissions round;*

*g) children whose twin or sibling from a multiple birth is admitted otherwise than as an excepted pupil;*

*h) children with special educational needs who are normally taught in a special educational needs unit attached to the school, or registered at a special school, who attend some infant classes within the mainstream school.*

In these circumstances, therefore, it is not necessary to take on an additional teacher; however, it has been the practice in Haringey, and other councils, to continue to provide funding for KS1 classes forced to exceed 30 pupils. The DfE in its allowable criteria recognises this practice and we recommend that it continue as an incentive to schools to willingly accommodate these pupils at the start of their school career. Our recommendations are:

- That class size funding continues in the circumstances shown above.
- That KS1 class size funding recognises the local arrangement that requires a school to take a twin even when this puts the school over number.
- That only one enhancement is made per school even if more than one KS1 class is over-size.

<b>Agenda Item</b>
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<b>Report Status</b>
For information/ note <input checked="" type="checkbox"/>
For consultation & views <input checked="" type="checkbox"/>
For decision <input type="checkbox"/>

**Report to Haringey Schools Forum – 25<sup>th</sup> February 2021**

<b>Report Title: Schools Forum Work Plan 2020-21 Academic Year.</b>
<b>Author:</b>  Muhammad Ali, School Finance Business Partner <b>Telephone: 020 8489 4491</b> Email: Muhammad.Ali@haringey.gov.uk
<b>Purpose: To inform the Forum of the updated work plan for the 2020-21 academic year and provide members with an opportunity to add additional items.</b>
<b>Recommendations:</b>  <b>That the updated work plan for the 2020-21 academic year is noted.</b>

**1. Schools Forum**

- 1.1. It is good practice for Schools Forum to maintain a work plan so that members ensure that key issues are considered in a robust and timely way.
- 1.2. Members of the Forum are asked to consider whether there are any additional issues that should be added to the work plan for the next Academic Year.
- 1.3. This work plan will be included on the agenda for each future meeting so that members are able to review progress and make appropriate updates.

## Haringey Schools Forum - Work Plan Academic Year 2020-21

### October 2020.

- Dedicated School Budget Strategy 2020-21 – Current year projection.
- Consultations on funding arrangements 2021-22 including COVID19 relief funds (Business Rate Relief Balance).
- High Needs Block.
- Early Year Block
- Deficit Recovery Plan (DSG)
- Updates from Working Parties.

### December 2020.

- Dedicated School Budget Strategy 2020-21.
- Early Year Block.
- Central Block.
- High Needs Block.
- Restructure Scrutiny Panel
- Arrangements for the use of pupil referral units and the education of children otherwise than at school.
- Early Help and Preventative services update.
- Deficit Recovery Plan (DSG)
- Update from Working parties.

### January 2021.

- Update on Dedicated Schools Budget Strategy 2020-21.
- Funding Formula 2021-22.
- Growth Fund.
- High Needs Block.
- Early Help and Preventative services update.
- Deficit Recovery Plan (DSG)
- Updates from working parties.

### February 2021.

- Update on Dedicated Schools Budget 2020-21.
- Deficit Recovery Plan (DSG)
- Growth Fund Strategy 2021-22
- Early Year Funding 2021-22
- Update from working parties.

### July 2021.

- Dedicated Schools Budget Outturn 2020-21
- Outcome of Internal Audit Programme 2020-21
- Forum Membership
- Update from working parties
- Deficit Recovery Plan (DSG)



## High Needs Block Committee

Minutes of the meeting held on  
**12<sup>th</sup> February 2021 at 9.30am via Zoom**

### School Forum Members

Martin Doyle: Headteacher Riverside School – Chair  
 + Peter Catling: Headteacher Woodlands Park NS&CC  
 Melian Mansfield: Pembury House Chair of Governors  
 Mike McKenzie: Headteacher Alexandra Park School  
 + Will Wawn: Primary Heads Rep  
 + Gerry Robinson: Alternative Provision  
 + Kurt Hintz: CoNEL

### Also Invited

Nathan Jones: Head of Service: Special Educational Needs & Disabilities  
 Phil di Leo: Governor The Vale  
 + Paul Durrant: Finance  
 + Rowan Griffin: Project Manager  
 + Tony Hartney: Chair, Schools Forum  
 Kristian Bugnosen: Finance Officer  
 + Ngozi Anuforo: Commissioning Manager

### Attending

Charles Cato: Finance Officer  
 Sarah Hargreaves: Governance Officer + Denotes absence

#### 1. Welcome, apologies for absence and acceptance

- 1.1 The Chair (Martin Doyle) welcomed everyone present to the meeting. Charles Cato is attending for Ngozi Anuforo.
- 1.2 Apologies for absence have been received from Peter Catling.

#### 2. Minutes of the meeting of 30<sup>th</sup> November 2020

- 2.1 The minutes were **agreed** as a correct record of the meeting. They will be signed and returned for safe keeping when this is next possible.

#### 3. Matters arising from the minutes

- 3.1 A detailed budget will not be produced at this stage but an end of year budget position will be provided. **Action NJ, KB**

#### 4. RAS and Way Forward

- 4.1 It was agreed that the RAS would be the end point of a range of discussions which have yet to occur.
- 4.2 Clarification was sought that the reason that there had been no request to the School Forum to transfer back to the HNB the money which had previously been taken out of the budget and put into the Schools Block was because it would make little difference to the size of the deficit. This was confirmed to be the case.
- 4.2.1 However, this situation can be reviewed annually and an application could be made for 2021-2022. In particular if the budget is showing signs of recovery.

- 4.2.2 An application can either be made locally to the School Forum or directly to the DfE/ESFA. The latter option was introduced to assist with deficit budgets but it was before many around the country got as large as they currently are. Any request to the DfE would need to be grounded in evidence.
- 4.2.3 The £1.3m transfer to Early Help needs to be seen in the context of the early intervention universal offer for children with disabilities.
- 4.2.4 There is a need to understand who the client group is. There is very little liaison between Early Help and the Special Schools as all of their pupils go through the Disabled Children's Team. The funding is directed at those prior to receiving an EHCP or who could be on SEND Support. It is for anyone aged 0-18. Some central government funding is also available for this.
- 4.2.4.1 In Haringey, unlike in the majority of other LA's, the funds have been used for family support work. Other areas use it for cognition and learning support within a school setting.
- 4.3 NJ confirmed that the focus groups will continue working after he leaves at the end of February.
- 4.4 The background in Haringey is that the funds were transferred from the HNB due to historical service needs. KB's research, as part of a pan London project, shows that there is no other borough using the funding in this way.
- 4.5 The Committee were in agreement that the School Forum needs to protect the HNB overall and not just shift money between the different blocks.
- 4.6 It was **agreed** that this point should be re-stated at the School Forum.
- 4.6.1 KB will re-circulate a finance paper submitted to the summer School Forum and also a paper from Ann Graham which covered these points. **Action KB**
- 4.7 The Social Care Team would need to be informed with sufficient notice of the potential drop in their income.
- 4.7.1 There is also funding going to the Alternative Provision (AP), service (previously used within the Tuition Service) which should be in the HNB.
- 4.8 It appears that some funds are remaining with schools when they transfer pupils to Alternative Provision. They are then funded again in AP, but the school funding is not reclaimed so the school does not transfer their AWPU.
- 4.9 It was **agreed** that MD and PdL would write a letter to School Forum setting out the above points. It would request that the funds were re-allocated to the HNB or at the very least that there were more prescriptive criteria set for how the money could be spent and how it is to be used for SEND and disabled pupils at the earliest possible opportunity after the identification of their need(s). This will be shared with Ann Graham and Tony Hartney. **Action MD, PdL**
- 4.9.1 There is also a need for standards to be set for schools for what they should be providing for pupils from the HNB fund.
- 4.9.2 It was **agreed** that it should be possible to remove funding from unnecessary activities or unless removing it would cause harm. This is currently difficult to quantify as it is unknown what some of the funding is being spent on.

## 5. Banding Descriptors

- 5.1 Modelling and benchmarking of the options and what can be afforded needs to be undertaken. Currently this is not transparent and different people have different perspectives on the system.
- 5.1.1 Once they are written a formal consultation process is likely to be needed.
- 5.1.2 Once they are written they will need to be formally agreed by Cabinet.
- 5.2 The Bands will describe what schools should be doing at each level and for the universal levels. There will be a cap of funding allocations at each level. Schools will be held to account for what they are providing.
- 5.3 It was noted that some schools create a hostile environment so that families with SEND children do not feel that they are welcome. This leads to an imbalance in the number of SEND pupils across schools. There is a need to move towards a system where if the school does not provide the required services or support they do not receive the funding.

- 5.3.1 There is a need for more information to be shared so all parties know what different schools are providing.
  - 5.4 The most effective way to reduce the HNB deficit is for SEND pupils to remain within mainstream schools. Conversely if specialist units are built they can be filled with pupils.
  - 5.5 It was **agreed** that a weakness in the overall system is that special needs issues are split between a range of providers; health, social care, school improvement, teaching and learning etc which means that there is no one person or service with responsibility for pupils.
  - 5.5.1 It is also very difficult to find the right person to report to if there are concerns about SEND provision, eg. as a parent. There is nothing on the website and no clear details of who to contact.
- 6. AOB**
- 6.1 NJ said that this will be his last meeting as he is leaving Haringey at the end of February. Everyone wished him well in his new role. He will be replaced by Mary Jarrett as Head of Service. She will be invited to the next HNB meeting. **Action Clerk**
  - 6.1.1 There will also be a new Early Help and SEND post sitting above the Head of Service role.
  - 6.2 The next meeting will be held on **March 12<sup>th</sup> 2021** at **9.30am**. **Teams** invite to follow.

There being no further business the Chair thanked everyone for their attendance and closed the meeting at **10.40am**.

Signed

Date

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